# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT		Docket Number 10191/2169		
Application Number To Be Assigned	Filing Date Herewith	Examiner To Be Assigned	Art Unit To Be Assigned	
Invention Title DEVICE AND METHOD FOR ETCHING A SUBSTRATE BY USING AN INDUCTIVELY COUPLED PLASMA		Inventor(s) Volker BECKER et al.		

**Assistant Commissioner** for Patents Washington, D.C. 20231

- In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance 1. with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the references listed on the attached modified PTO form 1449 to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
- 2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated.

Dated: January 22, 2002

By:

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANTS PTO FORM 1449

Atty. Docket No. 10191/2169	Sgial 0 031842 To Be Assigned
Applicant(s) Volker BECKER et al.	
Filing Date Herewith	Group To Be Assigned

#### U. S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,648,701*	July 15, 1997	Hooke et al.			
	5,997,687 <b>*</b>	December 7, 1999	Koshimizu			-

<sup>\*</sup> Listed in Search Report (copy not provided, copy provided by International Searching Authority).

### FOREIGN PATENT DOCUMENTS

						TRANSLATION	
EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	YES	NO
	0 849 766	June 24, 1998	EP				
	<sub>WO 99/50883</sub> ∙ √	October 7, 1999	PCT				
	wo 97/14177°, √	April 17, 1997	PCT				
	10-64696	March 6, 1998	JP				
	42 41 045" J	May 26, 1994	DE				
	199 00 179"√	February 24, 2000	DE				
	199 19 832 <sup></sup> √	November 9, 2000	DE				
	197 34 278". 🗸	February 25, 1999	DE				
	199 18 392 <sup></sup> √	November 4, 1999	DE				
	199 27 806 <sup></sup> ✓	January 4, 2001	DE				

<sup>\*</sup> Listed in Search Report (copy not provided, copy provided by International Searching Authority).

## OTHER DOCUMENTS

EXAMINER'S INITIALS	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Patent Abstracts of Japan, Vol. 1998, No. 08, June 30, 1998

<sup>\*</sup> Listed in Search Report (copy not provided, copy provided by International Searching Authority).

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conform	

<sup>\*\*</sup> Described in Specification